

Guidelines for Completing BIS-999, Request for Special Priorities Assistance

FORM BIS-999 (formerly form BXA-999) REV. 4-03	U.S. DEPARTMENT OF COMMERCE BUREAU OF INDUSTRY AND SECURITY	FOR DOC USE OMB NO. 0694-0057 CASE NO. _____ RECEIVED _____ ASSIGNED TO _____
REQUEST FOR SPECIAL PRIORITIES ASSISTANCE READ INSTRUCTIONS ON LAST PAGE FILL OUT USING YOUR COMPUTER		

Submission of a completed application is required to request Special Priorities Assistance (SPA). See sections 700.50-55 of the Defense Priorities and Allocations System (DPAS) regulation (15 CFR 700). It is a criminal offense under 18 U.S.C. 1001 to make a willfully false statement or representation to any U.S. Government agency as to any matter within its jurisdiction. All company information furnished related to this application will be deemed BUSINESS CONFIDENTIAL under Sec. 705(d) of the Defense Production Act of 1950 [50 U.S.C. App. 2155(d)] which prohibits publication or disclosure of this information unless the President determines that withholding it is contrary to the interest of the national defense. The Department of Commerce will assert the appropriate Freedom of Information Act (FOIA) exemptions if such information is the subject of FOIA requests. The unauthorized publication or disclosure of such information by Government personnel is prohibited by law. Violators are subject to fine and/or imprisonment. [Note: The Bureau of Export Administration is part of the Bureau of Industry and Security (BIS).]

1. APPLICANT INFORMATION

a. Name and complete address of Applicant (Applicant can be any person needing assistance - Government agency, contractor, or supplier. See definition of "Applicant" in Footnotes section on last page of this form).

Applicant Name _____

 Zip _____
 Telephone _____ Fax _____
 E-mail address: _____

b. If Applicant is not end-user Government agency, give name and complete address of Applicant's customer.

Customer Name _____
 Address _____
 City _____ State _____ Zip _____
 Contact's name _____
 Title _____
 Telephone _____ FAX _____
 Contract/purchase order no. _____
 Dated _____ Priority rating _____

Person completing this form (whomever is most informed about the problem)

Applicant's customer

If applicant is FEMA, list "n/a".

If applicant is a contractor, list FEMA information.

If applicant is a subcontractor, list prime contractor information.

2. APPLICANT ITEM(S). If Applicant is not end-user Government agency, describe item(s) to be delivered by Applicant under its customer's contract or purchase order through the use of item(s) listed in Block 3. If known, identify Government program and end-item for which these items are required. If Applicant is end-user Government agency and Block 3 item(s) are not end-items, identify the end-item for which the B... Footnotes section on last page of this form.

If applicant is FEMA or a contractor, list the end product FEMA is buying.

If applicant is a subcontractor, describe the component part of the end product.

3. ITEM(S) (including service) FOR WHICH APPLICANT REQUESTS ASSISTANCE

Quantity <i>Pieces, units</i>	Description <i>Include identifying information such as model or part number</i>	Dollar Value <i>Each quantity listed</i>

List the item(s) described in Block 2, such as:

Quantity = 100
Description = widgets (part #1234) for end product
Dollar Value = \$2000 (total for all 100)

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4. SUPPLIER INFORMATION								
a. Name and complete address of Applicant's Supplier. _____ _____ State _____ Zip _____ _____ _____ Fax _____ E-mail address: _____					b. Applicant's contract or purchase order to Supplier. Number _____ Dated _____ Priority rating _____ <i>(If none, so state)</i> If Supplier is an agent or distributor, give complete producer or lower tier supplier information in Continuation Block on page 3, including purchase order number, date, and priority rating (if none, so state).			
5. SHIPMENT SCHEDULE OF ITEM(S) SHOWN IN BLOCK 3								
a. Applicant's <u>original</u> shipment/performance requirement	Month							Total <u>units</u>
	Year							
b. Supplier's <u>original</u> shipment/performance promise	Month							Total
	Year							
c. Applicant's <u>current</u> shipment/performance requirement	Month							Total <u>units</u>
	Year							
d. Supplier's <u>current</u> shipment/performance promise	Month							Total <u>units</u>
	Year							
6. REASONS GIVEN BY SUPPLIER for inability to meet Applicant's required shipment or performance date(s).								
7. BRIEF STATEMENT OF NEED FOR ASSISTANCE. As applicable, explain effect of delay in receipt of Block 3 item(s) on achieving timely shipment of Block 2 item(s) (e.g., production line shutdown), or the impact on program or project schedule. Describe attempts to resolve problems and give specific reasons why assistance is required. If priority rating authority is requested, please so state.								
8. CERTIFICATION: I certify that the information contained in Blocks 1 - 7 of this form, and all other information attached, is correct and complete to the best of my knowledge and belief (omit signature if this form is electronically generated and transmitted - use of name is deemed certification).								
Signature of Applicant's authorized official _____					Title _____			
Print or type name of authorized official _____					Date _____			

If applicant is FEMA, list the prime contractor.

If applicant is a contractor, list the subcontractor.

Contract information

Original and revised schedule information

Reason for any change in schedule

Justification for the requested assistance

Program official, senior manager, or company official

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9. U.S. GOVERNMENT AGENCY INFORMATION	
<p>Complete address of cognizant sponsoring service/agency/activity office. Provide lower level activity, program, project, contract number, or field office information in Continuation Block below, on this page, or on separate sheet of paper.</p> <p>_____</p> <p>_____</p> <p style="text-align: center;">State _____ Zip _____</p> <p>_____</p> <p style="text-align: center;">Date _____</p> <p>_____</p> <p style="text-align: center;">Fax _____</p> <p>E-mail address: _____</p>	<p>b. Case reference no. _____</p> <p>c. Government agency program or project to be supported by Block 2 item(s). Identify end-user agency if not sponsoring agency.</p>
	<p>← Leave this field blank.</p>
	<p>← Description of FEMA program</p>
<p>d. Statement of urgency of particular program or project and Applicant's part in it. Specify the extent to which failure to obtain requested assistance will adversely affect the program or project.</p>	<p>← Description (or "see attached")</p>
<p>e. Government agency/activity actions taken to attempt resolution of problem.</p>	<p>← Description (or "see attached")</p>
<p>f. RECOMMENDATION</p>	<p>← Description</p>
<p>g. ENDORSEMENT by authorized Department or Agency headquarters official (omit signature if this form is electronically generated and transmitted – use of name is deemed authorization). This endorsement is required for all Department of Defense and foreign government requests for assistance.</p> <p>Signature of authorized official _____ Type name of authorized official _____</p> <p>Title _____ Date _____</p>	<p>← Authorized OPPA contact</p>
<p>CONTINUATION BLOCK Identify each statement with appropriate block number</p>	
<p>← Additional space, if needed</p>	

FEMA completes all fields in Block 9.

If applicant is FEMA, enter "see Block 1a."

If applicant is a contractor or subcontractor, list the FEMA program official.

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INSTRUCTIONS FOR FILING FORM BIS-999

NOTE: You may fill out this form using your computer. Save the downloaded blank file to your computer and generate forms for submission via U.S. mail, e-mail, or fax. Navigate between the form's data fields using the tab key, back tab or backspace.

REQUESTS FOR SPECIAL PRIORITIES ASSISTANCE (SPA) MAY BE FILED for any reason in support of the Defense Priorities and Allocations System (DPAS); e.g.: when its regular provisions are not sufficient to obtain delivery of item(s)¹ in time to meet urgent customer or program/project requirements; for help in locating a supplier or placing a rated order; to ensure that rated orders are receiving necessary preferential treatment by suppliers; to resolve production or delivery conflicts between or among rated orders; to verify the urgency or determine the validity of rated orders; or to request authority to use a priority rating. Requests for SPA must be sponsored by the cognizant U.S. Government agency responsible for the program or project supported by the Applicant's² contract or purchase order.

REQUESTS FOR SPA SHOULD BE TIMELY AND MUST ESTABLISH:

- The urgent defense (including civil emergency) or energy program or project related need for the item(s); and that
- The Applicant has made a reasonable effort to resolve the problem.

APPLICANT MUST COMPLETE BLOCKS 1-8. SPONSORING U.S. GOVERNMENT AGENCY/ACTIVITY MUST COMPLETE BLOCKS 9-10. Sponsoring agency, if not the Department of Defense (DOD), must obtain DOD concurrence if the agency is supporting a DOD program or project. This form may be mechanically or electronically prepared and may be mailed, FAXed, or electronically transmitted.

WHERE TO FILE THIS FORM:

- Private sector Applicants should file with their respective customers as follows: **lower tier suppliers** file with customer/subcontractor for forwarding to subcontractor/prime contractor; **subcontractors/suppliers** file with prime contractor for forwarding to one of the below listed cognizant U.S. Government (DPAS Delegate) agencies; **prime contractors** file directly with one of the below listed cognizant U.S. Government (DPAS Delegate) agencies:
 - **Department of Defense (DOD)** -- File with the local Defense Contract Management Area Office, plant representative or contracting officer, or the appropriate DOD military service, associated agency, program, or project office.
 - **Department of Energy (DOE)** -- File with the appropriate Field Operations Office. Requests for SPA for domestic energy projects should be filed with DOE headquarters in Washington, D.C.
 - **General Services Administration (GSA) and Federal Emergency Management Agency (FEMA)** -- File with the contracting officer in the agency's regional office or with its headquarters office in Washington, D.C.
- Applicants who are lower level **contract administration, program, project, or field offices**, or when these activities cannot resolve the private sector request for assistance, should forward this form to cognizant sponsoring service/agency/activity headquarters for review, Block 10 endorsement, and forwarding to the U.S. Department of Commerce. **Foreign government or private sector entities** should file directly with the DOD Office of the Secretary of Defense. **Timely review and forwarding is essential to providing timely assistance.**
- If for any reason the Applicant is unable to file this form as specified above, see **CONTACTS FOR FURTHER INFORMATION** below.

CONTACTS FOR FURTHER INFORMATION:

- For any information related to the production or delivery of items against particular rated contracts or purchase orders, contact the cognizant U.S. Government agency, activity, contract administration, program, project, or field office (see WHERE TO FILE above).
- If for any reason the Applicant is unable to file this form as specified in WHERE TO FILE above, if the cognizant U.S. Government agency cannot be determined, or for any other information or problems related to the completion and filing of this form, the operation or administration of DPAS, or to obtain a copy of the DPAS or any DPAS training materials, contact the **Office of Strategic Industries and Economic Security, Room 3876, U.S. Department of Commerce, Washington, D.C. 20230 (Attn.: DPAS); telephone (202) 482-3634, or FAX (202) 482-5650.**

Additional instructions,
if needed

APPLICANTS REQUIRING PRIORITY RATING AUTHORIZATION TO OBTAIN PRODUCTION OR CONSTRUCTION EQUIPMENT for the performance of rated contracts or orders in support of DOD programs or projects must file **DOD Form DD-691, "Application for Priority Rating for Production or Construction Equipment"** in accordance with the instructions on that form. For DOE, GSA, or FEMA programs or projects, Applicants may use this form unless the agency requires its own form.

SPECIAL INSTRUCTIONS:

- If the space in any block is insufficient to provide a clear and complete statement of the information requested, use the **Continuation Block** provided on this form or a separate sheet to be attached to this form.
- Entries in Block 3 should be limited to information from a single contract or purchase order. If SPA is requested for additional contracts or purchase orders placed with a supplier for the same or similar items, information from these contracts or purchase orders may be included in one application. However, each contract or purchase order number must be identified and the quantities, priority rating, delivery requirements, etc., must be shown separately.
- If disclosure of certain information on this form is prohibited by security regulations or other security considerations, enter "classified" in the appropriate block in lieu of the restricted information.

FOOTNOTES:

1. "Item" is defined in the DPAS as any raw, in process or manufactured material, article, commodity, supply, equipment, component, accessory, part, assembly, or product of any kind, technical information, process or service.
2. "Applicant" as used in this form, refers to any person requiring Special Priorities Assistance, and eligible for such assistance under the DPAS. "Person" is defined in the DPAS to include any individual, corporation, partnership, association, any other organized group of persons, a U.S. Government agency, or any other government.

BURDEN ESTIMATE AND REQUEST FOR COMMENT

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, gathering the data needed, and completing the form. Please send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Director of Administration, Bureau of Industry and Security, Room 3889, U.S. Department of Commerce, Washington, D.C. 20230. Notwithstanding any other provision of law, no person is required to respond to, nor shall a person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number.

For assistance in completing Form BIS-999, please contact the Office of Policy and Program Analysis (OPPA) at (202) 646-3350.